

Software Model Evolution with Large Language Models: Experiments on Simulated, Public, and Industrial Datasets

Abstract—Modeling structure and behavior of software systems plays a crucial role in the industrial practice of software engineering. As with other software engineering artifacts, software models are subject to evolution. Supporting modelers in evolving software models with recommendations for model completions is still an open problem, though. In this paper, we explore the potential of large language models for this task. In particular, we propose an approach, RAMC, leveraging large language models, model histories, and retrieval-augmented generation for model completion. Through experiments on three datasets, including an industrial application, one public open-source community dataset, and one controlled collection of simulated model repositories, we evaluate the potential of large language models for model completion with RAMC. We found that large language models are indeed a promising technology for supporting software model evolution (62.30% semantically correct completions on real-world industrial data and up to 86.19% type-correct completions). The general inference capabilities of large language models are particularly useful when dealing with concepts for which there are few, noisy, or no examples at all.

I. INTRODUCTION

Models play an important role in modern software and system development [53], software documentation [35, 49], system architecture [50], simulation [18], and industry automation [27]. In practice, all artifacts in software and system development are subject to evolution, which also applies to *software models*¹: Software models must evolve because of changing requirements, but they are also subject to bugfixes and refactorings [64].

From the perspective of a modeling tool, we can understand the evolution of a software model as a sequence of *edit operations*: To change or evolve the model, the user executes edit operations (e.g., using mouse clicks and keyboard strokes) provided by the tool. Supporting tool users in accomplishing various software model (evolution) tasks is clearly desirable in practice [19, 63]. For the evolution of software models, modeling tools typically provide an initial set of edit operations (e.g., adding an attribute to a model element). Nevertheless, since the usage of a (domain-specific) language is also subject to evolution and since (project-specific) usage patterns might emerge, this initial set of edit operations is likely not exhaustive. For example, in object-oriented design, design patterns [25] are widely used but are not part of UML [49].

For source code, modern integrated development environments already support writing and evolving source code by (*auto*)-*completion*. Most notably, the use of large language

models (LLMs) has become state-of-the-art for the auto-completion of source code [15, 69, 4, 24, 5, 67].

The world of software models seems to be lagging behind, and no general approach for software model auto-completion is ready for the industrial application. It has been even argued that the so-called cognification of use cases in model-driven software engineering might turn the difference between (perceived) added value and cost from negative to positive [10].

Problem Statement. Notably, for a few domain-specific languages, rule-based approaches exist that use pre-defined edit operations or patterns for model completion [36, 37, 26, 56]. Using a specification language for defining edit operations poses three challenges, though. First, specifying new edit operations requires knowledge about the specification language and the domain-specific language. Second, domain-specific edit operations are often not explicitly known, that is, they are a form of tacit knowledge [51]. Externalizing the knowledge is hard or even impossible for domain experts. Third, edit operations can change over time, for example, because the metamodel changes. In the light of these challenges, mining approaches that retrieve edit operations are especially appealing, since they do not require any manual specification, no hand-crafting of examples (as in model transformation by example [65, 31]), and they are not limited to well-formedness rules that can be derived out of the metamodel. Unfortunately, existing approaches such as applying frequent subgraph mining to software model repositories are not scalable [63], and mining approaches lack abstraction capabilities [63].

Clearly, from the perspective of software model evolution, it is desirable to have *context-dependent auto-completions*, rather than utilizing a fixed set of edit operations. We posit that generative language models exhibit a deep understanding of language and hold comprehensive knowledge across various domains, which is a result of their training on vast corpora. This capability enhances their potential to interpret and complete software models effectively, which usually encompass a vast amount of natural language data.

While recent research suggests that LLMs could be utilized for model completion [13], we go beyond and utilize model evolution data from model repositories to capture real-world complexities. Existing model repositories contain a vast amount of real-world model evolution data that can be leveraged to support the evolution (or completion) of models under development. It is important to note that, in our work, we explicitly acknowledge the complexity of real-world data, which

¹ In our work, it's crucial to differentiate between software models and machine learning models to avoid confusion.

is due to the close collaboration with our industry partner (who also contributes a case study).

Contributions. By leveraging existing software model histories², and by defining an encoding for serializations of model difference graphs, we study to what extent retrieval-augmented generation, (i.e., we provide examples as context in the prompt) can be used for software model completion. We furthermore compare our retrieval-based approach, RAMC, to fine-tuning (i.e., the LLM’s weights are adapted by training on parts of our data).

We find that RAMC is indeed a promising approach for software model completion, with 62.30% of semantically correct completions. LLM’s general inference capabilities prove especially helpful in handling noisy and unknown context, and real-time capabilities enabled by LLMs are beneficial for stepwise model completion. We conclude that using LLMs for software model completion is viable in practice (despite various complexities), but further research is necessary to provide more task and domain knowledge to the LLM.

In a summary, we make the following contributions:

- As a foundation for applying LLMs, we formalize the concept of software model completion based on change graphs and their serialization.
- We propose a retrieval-augmented generation approach, RAMC, for software model completion.
- We evaluate RAMC qualitatively and quantitatively on three datasets, including an industrial application, one public open-source community dataset, and one controlled collection of simulated model repositories.
- Comparing retrieval-augmented generation to fine-tuning, we find that fine-tuning depends mostly on the diversity of the repository, the number of training epochs, and the choice of the base model.

All source code for our experiments, scripts, public datasets, and results are publicly available (see Section VII).

II. RELATED WORK

Various approaches have been proposed for software model completion, ranging from rule-based approaches to data mining techniques and more sophisticated machine learning approaches. An overview of recommender systems in model-driven engineering is given by Almonte et al. [6]. Some of the previous work studies recommending model completions by utilizing knowledge bases such as pattern catalogs or knowledge graphs [2, 36, 37, 43, 39, 19, 41]. Consequently, these research efforts are often domain-specific, as they require the provision of domain-specific catalogs (a.k.a., the cold start problem), such as for UML [36, 37, 43] or business process modelling [19, 39].

Another common approach is to use already existing model repositories and employ techniques such as frequency-based mining, association rule mining, information retrieval techniques, and clustering to suggest new items to be included in the model [1, 60, 20, 23] or new libraries for use [26].

MemoRec [20] and MORGAN [21] are frameworks that use graph-based representation of models, and similarity-based information retrieval mechanism to retrieve relevant items, such as classes and structural features, from a database of modelling projects. However, the graph-based representation is not directly related to the abstract syntax graph of the models and consequently may not capture the essential semantics and constraints of the modelling languages.

Repository mining and similarity-based item recommendation techniques are often combined [19, 39]. Kögel et al. [34, 33] identify rule applications in current user updates and find similar ones in the model’s history. More generally, one could employ approaches to automatically compute consistency-preserving rules [32] or pattern mining approaches [63, 62, 38] to derive a set of rules to be used in conjunction with a similar association rule mining approach.

Another strategy to generate model completion candidates that comply with the given metamodel and additional constraints involves using search-based techniques [58]. Without knowledge about higher-level semantics, these approaches are more comparable to the application of a catalog of minimal consistency-preserving edit operations [32].

Regarding the application of natural language processing (NLP) [9] and language models [16, 68], Burgueño et al. [9] propose an NLP-based system using word embedding similarity to recommend domain concepts. Weyssow et al. [68] use a LSTM neural network architecture to recommend metamodel concepts without generating full model completions. While code completion and model completion are closely related, recent research has mainly concentrated on code completion, where LLMs seem to be the state of the art [15, 29, 17, 57]. Considering the close connection to code and model completion, it’s essential for us to explore further how generative approaches, such as LLMs, operate within the context of software model completion of complex real-world models. Most closely to this work, is an approach by Chaaben et al. [13], which utilized the few-shot capabilities of GPT-3 for model completion. In their study, they handpicked 30 domain models from the ModelSet dataset [40, 13] and evaluated the effectiveness of the suggestions by simulating (elements are randomly removed from a model) an incremental design process. The paper makes important contributions, although its reliance on a simulated evolution does not well reflect real-world complexities. In contrast, our approach takes a different avenue, leveraging model evolution from model repositories. Cámara et al. [11] further extend on Chaaben et al.’s research by conducting experiments to assess ChatGPT’s capability in model generation. Ahmad et al. explore the role of ChatGPT in collaborative architecting through a case study focused on defining Architectural Significant Requirements (ASRs) and their translation into UML [3].

A slightly different but similar research area focuses on model repair [45, 28, 44, 42, 47, 60]. REVISION [47] uses so-called consistency-preserving edit operations to detect the origin of inconsistencies and then uses the pre-defined edit operations to recommend repair operations.

² Note that we use the terms *software model repositories* and *software model histories* interchangeably, and we assume that the repository contains several revisions of a software model.

III. FORMAL DEFINITIONS

In this section, we describe the fundamental concepts essential for the subsequent analysis.

A. Software Models, Edit Operations and Model Completion

In model-driven engineering, the language for a software model (i.e., its abstract syntax and static semantics) is typically defined by a metamodel TM . We denote by $\mathcal{M}$ the set of all valid models (according to some metamodel). This can be formalized using typed attributed graphs [7, 22].

Definition III.1 (Abstract Syntax Graph). An *abstract syntax graph* G_m of a model $m \in \mathcal{M}$ is a attributed graph, typed over an attributed type graph TG given by metamodel TM .

The idea of typed graphs is to define a graph homomorphism (i.e., a function from the typed graph G to the type graph TG). Details of this formalization are given by Biermann et al. [7]. The abstract syntax graph of a model and its type graph contain all information that a model holds. In this paper, we are concerned with model repositories. We assume that the modelling tool takes care of checking the correct typing of the software models. Furthermore, we work with a simplified graph representation of the models in which the abstract syntax graph is a *labeled directed graph* with node and edge labels equal to a textual representation of corresponding classifiers and relationships of the abstract syntax graph (cf. Definition III.1).

Definition III.2 (Labeled Directed Graph). Given a label alphabet L , a *labeled directed graph* G is a tuple (V, E, λ) , where V is a finite set of nodes, E is a subset of $V \times V$, called the edge set, and $\lambda : V \cup E \rightarrow L$ is the labeling function, which assigns a label to nodes and edges.

Rather than working directly on the abstract syntax graph of the models, we will mostly be working with model differences.

Definition III.3 (Structural Model Difference). A *structural model difference* Δ_{mn} of a pair of model versions m and n is obtained by matching corresponding model elements in the model graphs G_m and G_n (using a model matcher [61], e.g., EMFCompare [8] or SiDiff [55]). There are added elements (the ones present in G_n but not in G_m), removed element (the ones present in G_m but not in G_n), and preserved elements which are present in G_m and G_n .

We assume that this matching is deterministic, that is, given two models $m, n \in \mathcal{M}$, we obtain a unique structural model difference Δ_{mn} . The difference can be represented as a *difference graph* $G_{\Delta_{mn}}$ [47]. More concretely, we add the change type (“Add”, “Preserve”, or “Remove”) in the node and edge labels, and matching elements (i.e., the preserved ones) from G_m and G_n are unified (present only once).

We define a *simple change graph* to be the smallest subgraph comprising all changes in the difference graph $G_{\Delta_{mn}}$.

Definition III.4 (Simple Change Graph). Given a difference graph $G_{\Delta_{mn}}$, a *simple change graph* $SCG_{\Delta_{mn}} \subseteq G_{\Delta_{mn}}$ is derived from $G_{\Delta_{mn}}$ by first selecting all the elements in $G_{\Delta_{mn}}$

representing a change (i.e., added, removed nodes and edges) and, second, adding preserved nodes that are adjacent to a changed edge.

Definition III.5 (Endogenous model transformation). An *endogenous model transformation* is a pair $t = (m, n) \in \mathcal{M} \times \mathcal{M}$. We call m the *source model* and n the *target model* of the transformation and $\mathcal{T} \stackrel{\text{def}}{=} \mathcal{M} \times \mathcal{M}$ the space of endogenous model transformations.

Next, we define a function $SCG : \mathcal{T} \rightarrow \mathcal{G}$ that takes a model transformation (i.e., a pair of models) as input and returns the simple change graph for the corresponding model difference. We can use SCG to define an equivalence relation on $\mathcal{T}$ by

$$t_1 = (m, n) \sim t_2 = (k, l) \iff SCG_{\Delta_{mn}} = SCG_{\Delta_{kl}}.$$

It is straightforward to see that this relation indeed defines an equivalence relation (i.e., the relation is reflexive, symmetric, and transitive). We can therefore define the quotient set $\mathcal{T}/\sim$. By construction there is bijection from the quotient set to the range of SCG . We can therefore use this construction to formally define the concept of an *edit operation*.

Definition III.6. An *edit operation* is an equivalence class in the set $\mathcal{E} \stackrel{\text{def}}{=} \mathcal{T}/\sim$. An edit operation is therefore a set of model transformations that have the same simple change graph.

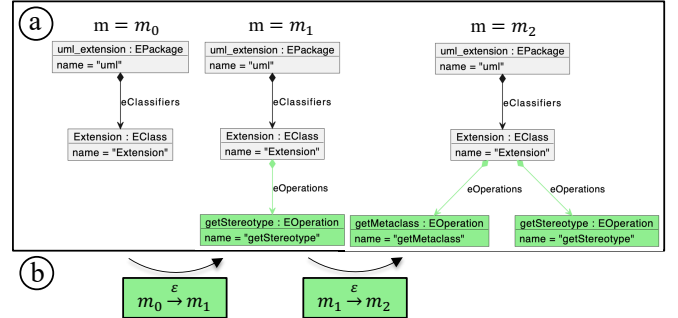


Figure 1: Visual presentation of our example taken from the REPAIRVISION dataset: (a) Evolutionary View: User performs edit operations one by one. (b) Evolution can be performed by a user or by using a completion approach.

Given an edit operation ε and a concrete model m , one can perform the removal of “Remove” nodes and the gluing of “Add” nodes as defined by the simple change graph corresponding to ε , and then set concrete attributes. This yields the corresponding model n with $(m, n) \in \varepsilon$. This way, an edit operation $\varepsilon \in \mathcal{E}$ can be interpreted as a template for a model transformation, which is in line with previous constructions [7, 30, 63]. We write $m \xrightarrow{\varepsilon} n$ to denote a concrete element (i.e., a model transformation) in the equivalence class $\varepsilon \in \mathcal{E}$.

We are interested in completing software models. That is, for an observed evolution $m \xrightarrow{\varepsilon} n$, we want to find a completion $\gamma \in \mathcal{E}$, such that $m \xrightarrow{\varepsilon} n \xrightarrow{\gamma} c$ is an observable completion. (*Software*) *model completion* is the task of further evolving a software model based on a given (partial) model.

Definition III.7 (Model Completion). Given a set of model transformations $\mathcal{T}$, *model completion* is a computable function $C: \mathcal{T} \rightarrow \mathcal{T}$ that, given a model transformation $m \xrightarrow{\varepsilon} n$ from a source model m to a (partial) target model n , computes a model transformation $C(m \xrightarrow{\varepsilon} n) = n \xrightarrow{\gamma} c$. We call the edit operation γ a *software model completion*.

B. Language Models

Language models, as *generative models*, have the capability to produce new sequences of text based on their training data.

Definition III.8 (Language Model). A *language model* is a conditional probability distribution $\mathbb{P}(\omega|c)$ for a (sequence of) token(s) ω , given a sequence of context tokens c .

The probability distribution is typically derived from a *corpus* of documents, containing (some of) the tokens. With the success of transformer architecture [66], LLMs have become quite popular now and are used in plenty of domains including software engineering [54, 71, 70]. There are several tactics available to feed domain knowledge or context into a generative language model: fine-tuning and retrieval-augmented generation. Retrieval-augmented generation includes additional knowledge in the context (or prompt). Fine-tuning adjusts the LLM’s weights based on additional training data.

IV. APPROACH

As said in Section I, previous research and success stories for source code motivate us studying LLMs for software model completion. In this section, we describe RAMC – our approach of how to employ LLMs to (auto-)complete software models.

A. Running Example

Consider the motivating example depicted in Figure 1 which originates from one of our datasets, REPAIRVISION, further explained in Section V-B. In ①, we show the evolution of its abstract syntax graph³. In this evolution scenario, a modeller adds the UML Profiles mechanism (see [49], Chapter 12.3) to the ECORE metamodel⁴ of UML 2.5.1. Step by step the modeller extends the existing UML metamodel with additional functionality, currently focusing on the extension EClass in the UML package. In a first step, the modeller adds an operation `getStereotype` (responsible for accessing the Stereotype of the extensions associated with an element in the (meta-)model). As defined in the UML specification [49], every extension has access to the Metaclass it extends, realized in ECORE by the EOperation `getMetaclass`. This EOperation is implemented by the modeller in a second step. These steps in the evolution of the UML metamodel could be performed via edit operations by a human user, or likewise, recommended in the form of a model completion by a machine user (as depicted in ② of Figure 1).

³ Due to obvious space constraints, only a small part of the original model (only one out of 256 classifiers and 2 out of 741 operations) is shown ⁴ UML, according to the Meta-Object Facility [48], is itself a model according to its meta-metamodel, ECORE, and therefore covered by the present work.

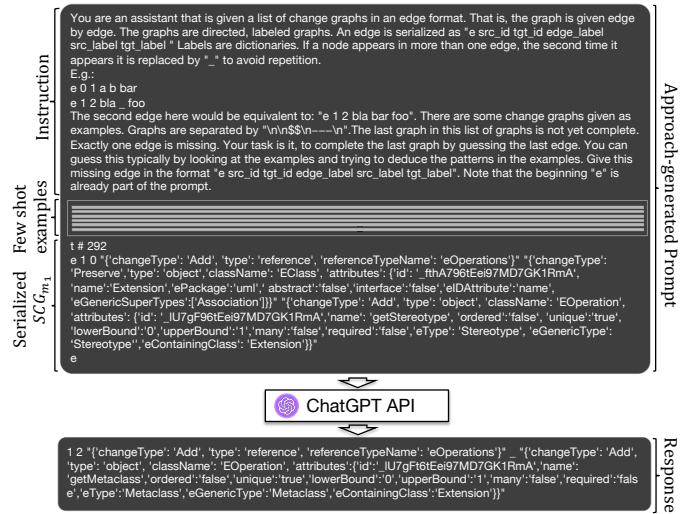


Figure 2: Detailed prompt and simple change graph serialization of the RAMC approach corresponding to the example given in Figure 1, exact few-shot examples are provided in [supplementary Website](#) due to space constraints.

B. Overview and Design Choices

Utilizing LLMs for software model completion gives rise to several challenges addressed by RAMC: how to provide context, such as domain knowledge, to the LLM, how to serialize software models, and how to deal with limited context⁵?

Regarding context, we opt for retrieval-augmented generation, and compare the approach to fine-tuning in one of our experiments. The next important design decision is that we do not work on the software models directly but on the simple change graphs, described in Section III. The basic idea is that simple change graph completions can be straight forwardly interpreted as model completions (i.e., generating a new “added” node corresponds to adding a new model element to the model). Working with the concept of a simple change graph has several advantages: First, we do not have to work with the entire software model representation, but we can focus on slices of the models around recently changed elements. This is one tactic of dealing with the common problem of the limited context of a LLM (see Section III-B). For example, in our running example, the entire (serialized) UML metamodel is huge and would not fit in the context of contemporary LLMs.

Second, simple change graph completions also include attribute changes and deletions of model elements and are not limited to the creation of new model elements. An overview of the approach is depicted in Figure 3, the computation of model differences (Figure 3, ①) and simple change graphs (Figure 3, ②) is explained in Section III. Their serialization will be addressed in the next subsection.

C. Pre-processing

Both training phase and generation phase work on serializations of simple change graphs. , we describe how these serializations

⁵ software models can become huge compared to the limited number of tokens that can be given to a LLM.

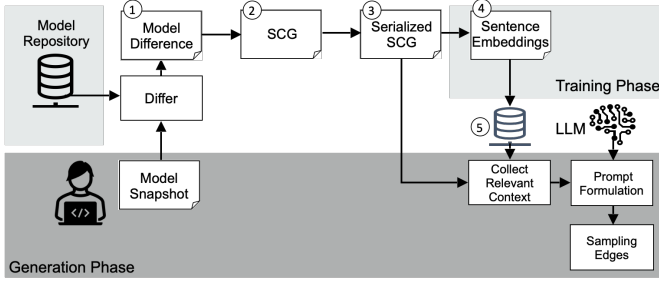


Figure 3: Overview of the RAMC approach.

are derived (based on the example given in Figure 1). Input to this procedure are two (successive) revisions of a model; output is a serialization of their simple change graphs. These revisions can originate either from the model the user is working on (in the generation phase) or from our training data.

In the first step, a model difference is computed for each pair of successive revisions of a model (Figure 3, ①). Regarding our running example in ④ of Figure 1, we also highlighted these model differences by color, that is, “added” model elements are depicted in green. From this model difference, we compute a (partial) simple change graph (see Definition III.4 and Figure 3, ②). The simple change graph is then split into its weakly connected components. Finally, the weakly connected components are serialized as a list of edges (Figure 3, ③). To this end, we defined a graph serialization, called *EdgeList*, for directed labeled graphs. Figure 2 presents the prompt generated from our approach alongside the corresponding response, which was retrieved via API access to ChatGPT. It also shows an example of this graph serialization (e.g., last part of the prompt), which contains all kinds of attribute information. It can quickly become verbose and noisy in real-world examples. Common formats such as the GraphML⁶ are less suitable for LLMs, since they list vertices before edges. This requires guessing all nodes first – added, deleted, and preserved – before generating edges, whereas we aim to generate nodes and edges simultaneously.

D. Training Phase

The *input* to the training phase is a set of serialized weakly connected simple change graph components. The *output* is a (vector) store of serializations with a (vector) key for retrieval (Figure 3, ⑤). We retrieve relevant simple change graphs from model repositories by utilizing a *similarity search* based on sentence embeddings [52]. The serializations are stored in a vector database together with their sentence embedding (Figure 3, ④ and ⑤).

E. Generation Phase

The *input* to the generation phase is a set of serialized weakly connected simple change graph components capturing the difference of a new model snapshot (i.e., local changes) and the previous model revision ($m_1 \xrightarrow{\epsilon} m_2$), as well as the vector store from the training phase. The *output* is a (list of) completion(s) in the form of *EdgeList* serializations, which are suggested to

the user after being parsed (an example is given in Figure 2, at the bottom under ‘Response’).

Retrieval. The vector store is queried for simple change graph serializations via a similarity-based retrieval. Note that, in our case the retrieved context can be interpreted as *few-shot examples*, because we retrieve complete simple change graphs, that is, completed partial simple change graphs from the history. The few-shot samples from Figure 2 are detailed on our [supplementary Website](#), due to space limitations. To ensure a diversity of samples, we use a procedure similar to maximum marginal relevance [12], explained in detail on the [supplementary Website](#). As few-shot samples, we select up to 12 serialized simple change graphs; we investigate the dependency on the number of few-shot samples in Section V.

Prompt formulation. The prompt (input to the LLM) used by our approach consists of an instruction at the beginning, followed by the few-shot samples retrieved from the vector store (joined via a separation token), and finally the (partial)-simple change graph serialization is concatenated (see Figure 2).

Sampling new edges. We can sample multiple model completion candidates from the LLM by using a beam search, or, instructing the model to generate several new edges. The edge sampling algorithms are given in detail on the [supplementary Website](#).

F. Implementation

We have implemented the computation of model differences and simple change graphs on top of the ECLIPSE MODELING FRAMEWORK [59], using SIDIFF [55] for matching and diffing. The other parts are implemented in PYTHON 3, mainly utilizing NETWORKX library⁷ for handling graphs. We use LANGCHAIN⁸ for the handling of language models and retrieval-augmented generation. We use the ALL-MINILM-L6-v2⁹ language model for the sentence embeddings since it performed well in preliminary experiments and as vector store, we use CHROMADB¹⁰. As the language model, we use GPT-4 (version 0613), since it performed best in preliminary experiments.

V. EVALUATION

We evaluate to what extent our approach is able to derive structurally and semantically correct completion operations from the software model history. This includes, in particular, their applicability in industrial scenarios.

While code completion is often assessed at runtime – either at line or function level through automated testing – we need to manually check for semantic correctness of serialized simple change graphs. The reason is that our data include a significant amount of natural language text (e.g., comments that will rarely match), the order of the serialized edges and node ids is ambiguous (and there are up to $n!$ possibilities), and application-specific identifiers in models (e.g., user-chosen attribute names) can rarely be *exactly* completed. For a practical illustration

⁷ <https://networkx.org>

⁸ <https://python.langchain.com/>

⁹ <https://huggingface.co/sentence-transformers/all-MiniLM-L6-v2>

¹⁰ <https://www.trychroma.com>

⁶ <http://graphml.graphdrawing.org/>

of some of these challenges, please refer to the example in Figure 1. On the [supplementary Website](#) a table summarizing related work on model completion is given, underscoring that benchmarking our proposed method with existing approaches is not feasible. In this table we list related work together with the proposed method, the evaluation task, evaluation metrics, dataset used, if the approach works on histories or not, and whether any other limitations are present. Among other limitations, this table highlights that most of related work is not applied to histories of models but static snapshots.

Instead we focus on the systematic evaluation of LLMs for model completion, which is essential for benchmarking our and upcoming approaches while controlling for confounding factors arising from tool use and human aspects (e.g., UX design facets). This is precisely why it is infeasible, at this stage, to conduct a user study settled in a specific application context, although acknowledging its importance for user adoption later on. The systematic evaluation allows us to concentrate on the core effectiveness of LLM technology. However, by applying our approach to a real-world context at our industry partner, who expressed clear interest in and demand for this technology, we establish a solid methodological and empirical foundation, before considering the development of sophisticated and potentially costly tools.

A. Research Questions

Clearly, a general pre-trained language model is typically not aware of the syntax and domain-specific semantics of the simple change graph serializations *per se*. This includes the definition of the graph serialization format, the definition of simple change graphs, the metamodel, and the domain-specific semantics of the software models not already encoded in the metamodel. For example, a generated completion might be invalid according to the metamodel, (e.g., invalid combination of edge, source, and target node labels) or could even result in an invalid directed labeled graph serialization (e.g., they do not adhere to the EdgeList format).

RQ 1: *To what extent can pre-trained language models and retrieval-augmented generation be used for the completion of software models?*

As motivated in Section IV, providing context that is semantically close to a to-be-completed change could improve the correctness of retrieval-augmented generation. We therefore want to understand the influence of the similarity-based retrieval on model completion. That is, we want to compare semantic retrieval and random retrieval of few-shot examples and to analyze the influence of the number of few-shot examples.

RQ 2: *What influence does semantic retrieval has on the performance of the RAMC approach?*

While quantitative results provide insights into the merits of LLMs on model completion, we also want to investigate when and why model completion fails. From simple examples and simulated changes it is hardly possible to make assertions for

real-world changes. We therefore take a closer look at a sample set from *real-world changes*. From our observations, we will derive research gaps and hypotheses for future research.

RQ 3: *What are limitations of using LLMs for model completion in a real-world setting?*

An alternative to retrieval-augmented generation is domain-specific fine-tuning. We explore its viability, considering dataset properties and training specifics (e.g., epochs and base LLM).

RQ 4: *What insights can be gained when comparing domain-specific fine-tuning to our retrieval-based approach RAMC?*

B. Datasets

To answer our research questions, we make use of three datasets, balancing internal and external validity. Basic statistics about the datasets are given in Table I.

Table I: Basic statistics for the datasets. Model size is measured in terms of the number model elements. Changes include added, deleted, and modified model elements, and several modification are possible for a single model element.

Dataset	Number of Models	Number of Revisions	Avg. Model Size	Avg. Number of Changes	Public Avail.
INDUSTRY	8	159	11 365	50 340	No
REPAIRVISION	42	3 139	685	70	Yes
SYNTHETIC	24	360	5 402	564	Yes

INDUSTRY Dataset. We have extracted this dataset from a repository of SysML models in MAGICDRAW¹¹ for a train control software used by a large product line of trains of our industry partner. The dataset stems from an industry collaboration, where we tackle several challenges related to the management of large industrial software product lines. The model for the train control software comprises several submodels, such as drive and brake control, interior lightning, exterior lightning, sanitary facilities, HVAC, etc. In a preprocessing step, we have removed confidential information (such as requirements or personal information) from the models. We have these models in a dedicated Azure deployment of OpenAI, which is certified for the classification level of the data. The models themselves as well as the average number of changes between revisions in this dataset is large (cf. Table I). The large number of changes originates from many attributes changes, such as renamings, and typically long time periods between two revisions.

The INDUSTRY dataset with its domain-specific and project-specific concepts helps to understand to what extent we can use LLMs for software model completion in a complex, real-world setting. It allows us to assess the effectiveness in navigating the noisy, complex, and often irregular nature of real-world data – a critical aspect often overlooked in existing research.

¹¹ MAGICDRAW is a modeling tool commonly used in industries for UML and SysML (system modeling).

REPAIRVISION Dataset. The REPAIRVISION [47, 46] dataset is a public dataset¹² of real-world open-source models, containing histories of 21 ECORE repositories, such as UML2 or BPMN2. The REPAIRVISION dataset plays a crucial role in our evaluation in assessing how effectively LLMs can be employed for software model completion in real-world settings. Similar to the INDUSTRY dataset, the serialized change graphs in this dataset can become verbose and noisy and reflect the difficulties of real-world model completion (see Figure 2). Its public availability facilitates reproducibility, comparability, and public accessibility, fundamental aspects that ensure our research can be examined and extended by others.

SYNTHETIC Ecore Dataset. With the first two datasets, we aimed at external validity and a real-world setting. At the same time, we had only little control over potentially influential factors of the dataset impairing internal validity. To obtain a dataset for which we can control several properties of the model repositories, we simulated the evolution of a software model similar to Tinnes et al. [63]: We used a metamodel that resembles a simple component model. Some predefined edit operations have been randomly applied to a revision of a software model to obtain a new revision of the software model. This way we were able to control the number of edit operations that are applied per model revision (i.e., 11, 31, 51, 81) and the number of model revisions in one dataset (i.e., 10 or 20). We furthermore randomly applied perturbations. That is, with a certain probability (i.e., 0%, 50%, 100%), we slightly modified the edit operation by a successive application of an additional edit operation that overlaps with the original edit operation. The repositories in this dataset contain only changes at the type level, that is, we do not include attributes or changes thereof. The SYNTHETIC dataset gives us more control over several properties of a model repository, allowing us to specifically understand how fine-tuning is affected by the properties of the model repositories, this way increasing internal validity.

C. Operationalization

We conduct four experiments, one per research question. For all significance tests, we use a significance level of $\alpha = 0.05$.

Experiment 1 (RQ 1): To answer RQ 1, we preprocess all three datasets from Section V-B and generate a collection with training (75%) and testing samples (25%). We then select 200 samples from the testing set and, for each, we select between 1 to 12 few-shot samples from the training set¹³. The reason to choose 200 samples is, on the one hand, to obtain a sample set of a manageable size that we can manually analyze and that induces acceptable costs for the LLM usage, and, on the other hand, to obtain a large enough set to draw conclusions.

First, we analyze the correctness of the generated completions: For the SYNTHETIC dataset and the REPAIRVISION

dataset, we automatically check the format, structural correctness, change semantics, and type correctness. The automatic validation is done using a graph isomorphism test, which we can reduce to an isomorphism check of the completed edge(s), after we ensure that the nodes in the context graph are correctly matched. For the INDUSTRY dataset, we additionally manually evaluate the generated completions to also check for *semantic* correctness. Since this manual evaluation is very time consuming and requires domain expertise, we sample from the INDUSTRY dataset. For this purpose, we examine the prompt and completion pairs to classify the changes into semantic clusters that we defined. We record whether the training samples contain a change that falls into the same class. We then only include samples that are unique according to the number of training examples, the class of the change, and whether there is a similar change in the training samples or not. This leaves 122 samples for the model completion task on the INDUSTRY dataset. For the base LLM, we use GPT-4¹⁴ (version 0613) in a dedicated Azure deployment to complete our prompts.

Experiment 2 (RQ 2): In RQ 2, we investigate whether the correctness (from correct format to semantic correctness) depends on the number of few-shot samples. For the INDUSTRY dataset, we have the information on whether a few-shot sample’s change is of a similar class as the test sample change graph. We also investigate how this affects correctness, that is, whether the similarity-based retrieval in RAMC affects the correctness of completions. To this end, we compare semantic sampling with few-shot samples that have been randomly retrieved from the training data. We evaluate this for semantic correctness. For this reason, and also to reduce the LLMs usage costs, we perform this analysis only for the INDUSTRY dataset.

Experiment 3 (RQ 3): We answer RQ 3 by manually investigating completions that have been generated in the first experiment for the INDUSTRY dataset. We go through all prompt and completion pairs and identify common patterns where the model completion works well or does not, and we aim at interfering causes that led to the results. Since this analysis is time-consuming, we focus on the INDUSTRY dataset – a domain- and project-specific, real-world dataset. We report on the identified strengths and weaknesses of the approach – given this real-world scenario – and point to research gaps and formulate hypotheses for future research and improvements.

Experiment 4 (RQ 4): To investigate whether fine-tuning is a viable alternative to few-shot prompting (see Experiment 1), we fine-tune models from the GPT family of language models on the SYNTHETIC dataset. The reasons why we restrict this analysis to the SYNTHETIC datasets are manifold: The main reason is that we want to understand *how* the performance of the fine-tuning approach depends on various properties of the dataset in a controlled setting. Furthermore, we have a limited budget for this experiment, and fine-tuning is costly.

¹² <https://repairvision.github.io/evaluation/>. ¹³ Every dataset consists of several projects (e.g., submodels in the case of the INDUSTRY dataset), and we ensure that they are represented with the same distribution in our samples. Furthermore, we ensure that every project is included, at least, once, even if it is very small. This leaves us with 210 samples for the SYNTHETIC dataset, 221 samples for the REPAIRVISION dataset, and 200 samples for the INDUSTRY dataset.

¹⁴ Note that we experimented with several LLMs from the GPT family of models and also observed changes in the specific model’s performance over time [14]. At the time of execution, GPT-4 using a small introductory prompt that explains the tasks (see [supplementary Website](#)) was performing best on a small test set, and we therefore fixed the LLM in RAMC to GPT-4.

We also control for the number of fine-tuning epochs and the base language model used for the fine-tuning.

For every repository of the dataset, we split the data into training set (90%) and testing set (10%), and we use the test set to report on the performance of the completion task. The fine-tuning of the models optimizes the average token accuracy¹⁵. To compare the retrieval-augmented generation to fine-tuning, we run both for the same test samples. For the few-shot training samples, we also use the same training samples used to fine-tune the language models. We assess the correctness with regard to a ground truth. Due to the unique characteristics of the SYNTHETIC dataset, the ground truth correctness is defined by the graph structure, change structure, and type structure.

D. Results

Experiment 1 (RQ 1): Addressing RQ1, which explores the extent to which pre-trained LLMs and retrieval-augmented generation can be utilized for software model completion, our findings on the correctness of RAMC are detailed in Table II.

We list the different *levels of correctness* for all datasets. We see that more than 90% of the completions have a correct format and even more than 76% of completions are type correct, that is, completed edges have the right source and target nodes, and type and the types of the source and target node are correct. Even at a semantic level, 62% of the generated completions are correct (for the INDUSTRY dataset only).

Table II: Different levels of correctness in percent (%) of the entire test set for all three datasets.

Dataset	Format	Structure	Change Structure	Type Structure	Semantic	Total (Count)
INDUSTRY	92.62	86.89	78.69	76.23	62.30	122
REPAIRVISION	91.86	84.62	84.16	76.92	–	221
SYNTHETIC	99.05	86.19	86.19	86.19	–	210

Experiment 2 (RQ 2): Regarding the relationship between the number of few-shot samples and correctness, we conducted a (one-sided) Mann-Whitney-U test for the overall and type/semantic correct distributions over the number few-shot samples. Across all datasets, we do not find any significant relationship between the number of few-shot samples and correctness (smallest p -value is 0.2 for the type correctness of the REPAIRVISION dataset). Furthermore, we find that test samples where a similar class of changes is among the few-shot samples perform significantly better than overall correctness ($p = 0.0289$ using a Mann-Whitney-U test, $p = 0.0227$ using a binomial test). Finally, we find that similarity-based retrieval performs significantly better than random retrieval for type correctness ($p < 10^{-9}$, using a binomial test) as well as for semantic correctness ($p < 0.0038$ by a binomial test¹⁶).

¹⁵ At the time of experiment execution, evaluating with any self-defined test metrics was not possible using the fine-tuning APIs provided by OpenAI. This metric is not aware of any specifics of the dataset, and even a single wrong token in a serialization can produce a syntactically wrong serialization, while the token accuracy for the incorrect completion would still be high. ¹⁶ For semantic correctness, we rely on the fact that the number of semantically correct samples is smaller than the number of type correct samples. Thus, we are able to compute an upper bound for the p -value using the type correct random retrieval samples.

Experiment 3 (RQ 3): To better understand when and why the retrieval-augmented generation succeeds or fails when completing software models, we separate our analysis here in two parts-successful completions and unsuccessful ones.

Successful – reoccurring patterns: Several of the successful completions follow repeating completion patterns. For example, there is a move refactoring, where a package declaration with type definitions is moved from one package to another package. Since this happened quite often in the past repository histories, the correct new parent package could be deduced, even though this package is not yet part of the incomplete test sample.

Successful – complex refactorings: Furthermore, more complex refactorings have also been completed correctly, for example, a redesign of a whole-part decomposition including packages and SysML block definitions has been correctly performed. Similarly, we find correctly completed refactorings dealing with inheritance (of port types).

Successful – project specific concepts: Even project-specific concepts, such as a special kind of tagging concept to mark software components as “frozen”, are correctly inferred from the few-shot examples or co-changes of components are correctly identified, likewise.

Successful – no memorization: We also observe correct handling of structure in non-trivial cases. For example, correct combinations of source and target node ids are generated can not be observed in the few-shot examples.

Successful – noise: We also observe that the language model is able to infer concepts among noise, that is, unrelated changes. For example, there are correctly completed instances of the “add interface block and type reference” concept where similar few-shot samples are only present with lots of entangled changes.

Regarding unsuccessful cases, we observe two main reasons for failure: incorrect structure and incorrect semantic.

Failure – structural conflicts: For incorrect structure, we find examples where conflicts occur because a node with the same node id is already present. Furthermore, sometimes (correct) model elements or packages are added to the incorrect parent package are not reflected properly (in most cases, we see a tendency of the LLM to “flatten” hierarchies).

Failure – structure incorrect: There are several instances where correct edge, source, and target node types are generated but their ids, and consequently the structure, is incorrect.

Failure – semantics wrong b/c copy&paste: One cause for incorrect semantic completions is that parts of few-shot samples are incorrectly copied and pasted. This usually happens when there is no context available to make the right completion.

Failure – semantics wrong b/c unknown evolution/missing context: For example, in the case of functional project-specific evolution, it might be hard to “guess” the right completion without further knowledge, or the semantic retrieval might fail to retrieve instances of the correct change pattern. Interestingly, in some of these cases, the LLM is “guessing well but not perfect” (e.g., added subsystem instead of external subsystem).

Failure – conceivable but unobserved evolution: Another interesting instance of incorrect semantic completion is a completion where a comment (in German) should be removed

but instead a comment (in English) has been added. In the project, there were many renamings from German to English and, in this case, a future change has been correctly anticipated.

Experiment 4 (RQ 4): To compare our retrieval-augmented generation-based to fine-tuning, we perform an analysis at the token level, and we also compare the completions on a graph-structural and semantic level. At the token level, we find an average token accuracy of 96.9%, with a minimum of 92.1%, and a maximum of 99.0% on our test data sets (10% test ratio). We can observe strong correlation of the average token accuracy with the number of fine-tuning epochs. Also, larger models perform better with respect to the average token accuracy. Regarding the repository properties, we only find significant negative correlations with the perturbation probability. That is, more diverse repositories are typically harder for the model completion using fine-tuning. Exact numbers are given on our [supplementary Website](#). For a large number of samples, only one completion candidate has been generated. In all of these cases, the generated candidate is also the correct one. When comparing the distributions of the edges removed in the simple change graph for incorrect and correct completions, we see that the average number of removed edges for the incorrect (i.e., no exact match) completions (5.78) is significantly larger than the average number of removed edges for the correct ones (2.94). Similarly, we find a significant relationship for the distributions of the total simple change graph size (14.89 for the incorrect completions, and 6.39 for correct completions).

Accuracies of the comparison of our approach to the fine-tuning approach are given in Table III.

Table III: Different levels of correctness in percent (%) for fine-tuned models compared to the retrieval-based approach in multi-edge software model completion on SYNTHETIC.

Dataset	Method	Correct edge(s)	Exact match
BATCH 1	RAMC	88.52	39.34
	text-ada-001	88.33	56.67
BATCH 2	RAMC	86.00	37.00
	text-curie-001	90.05	64.68

We conducted the Mann-Whitney-U test to compare the performance of retrieval-augmented generation and the fine-tuned text-curie-001 and text-ada-001 models from the GPT-3 family. In terms of producing, at least, one correct edge, neither fine-tuning nor retrieval-augmented generation demonstrates statistical significance in outperforming the other. In terms of exact matches text-ada-001 (with $p = 0.0290$) and text-curie-001 (with $p < 10^{-7}$) outperform retrieval-augmented generation. Regarding exact matches, the impact of different sampling methods used in fine-tuning and RAMC becomes substantial (algorithms are provided in [supplementary Website](#)). While RAMC often produces more edges than required, the sampling procedure used with the fine-tuning models takes a more conservative approach.

E. Discussion

Overall, we find that both RAMC and fine-tuning of LLMs are promising approaches for model completion, and the

general inference capabilities of LLMs are useful, can handle noisy contexts, and provide real-time capabilities. We will next discuss the results regarding the individual research questions, which includes outlining hypotheses for potential future research directions, followed by a discussion of threats to validity in Section V-F.

RQ 1: In the first experiment, we have seen a promising number of correct completions across all datasets. Not only are more than 90% of completions correct w.r.t. the serialization format, but we also find *more than 62% of semantically correct completions for a real-world industrial setting*. This indicates that LLMs with retrieval-augmented generation seem to be a promising solution for model completion. Token processing times fall within the millisecond range, and the time required for semantic retrieval is negligible, even for larger models. The approach’s real-time capability is significant given the stepwise model completion use case.

RQ 2: In the retrieval-augmented generation setting, we do not find any significant relationship between the number of few-shot samples and correctness. We find that similarity-based retrieval improves the correctness of the approach and that it significantly performs better if a similar example is available in the context. We conjecture that similarity-based retrieval is capable of retrieving relevant changes that follow a similar pattern and therefore boosts the completion capabilities. It also worthwhile mentioning that real-world datasets are typically biased with respect to the change pattern, and semantic retrieval can avoid sampling from large but irrelevant change pattern.

RQ 3: We have seen that our approach can be used to provide completions that are correct to a large extent for simple reoccurring patterns but also more complex refactorings. Even project-specific concepts can be deduced from few-shot examples. In many cases, generated edges are also structurally correct. The general inference capabilities of LLMs are useful, for example, in dealing with concepts for which there are few or no similar examples. Furthermore, also with entangled changes (i.e., noise) retrieval-augmented generation often provides correct completions. For a further investigation of these observations, we formulate the following hypothesis.

Hypothesis 1: LLMs and retrieval-augmented generation are able to handle noisy training examples, leverage (domain) knowledge from pre-training, and adapt to project-specific concepts for the task of software model completion.

We found completions that are incorrect from a structural viewpoint as well as incorrect from a semantic viewpoint. As for structurally incorrect completions, we identified cases where existing node ids are incorrectly reused, where incorrect (containment) hierarchies would have been created, or where completed edges are correct from a type perspective but do not connect the right nodes. It is worth further investigating how these structural deficiencies could be overcome, in particular, given that LLMs are designed for sequential input, not for graph inputs. This leaves us with the following hypothesis.

Hypothesis 2: Conceivable remedies for the structural deficiencies include fine-tuning of LLMs, combining graph neural networks – designed for graph-like input – with LLMs, providing multiple different graph serialization orders, or a positional encoding (a technique used to encode the position in the sequence in LLMs) that reflects the graph-like nature of the simple change graph serializations.

Regarding semantics, we found incorrect completions that were related to a lack of (domain) knowledge in the pre-trained model or the few-shot examples, respectively. For example, we found cases of functional evolution where the language model is missing (domain) knowledge or requirements, or cases of a refactoring without any relevant few-shot sample.

Hypothesis 3: Conceivable remedies for the semantic deficiencies include strategies to further fuse the approach with context knowledge (e.g., fine-tuning, providing requirements, or task context in the prompt, leveraging other project data in repositories etc.). Furthermore, providing a list of recommendations may cure some identified deficiencies.

RQ 4: We found that a more fine-tuning epochs are beneficial for the average token accuracy. More diverse repositories increase the difficulty for the software model completion. The larger the simple change graph and the more edges we omit for the completion, the higher the probability of an incorrect completion. The reason that fine-tuning has a higher exact match accuracy is more due to the edge sampling algorithm than to the method itself: When analyzing the percentage of correct edges, it becomes clear that we cannot conclude that one approach outperforms the other. Instead, we hypothesize a strong dependency on the edge sampling procedure, which deserves further investigation. While the retrieval-augmented generation often generated more edges than necessary, the sampling procedure used with the fine-tuned models from the GPT-3 family takes a more conservative approach, prioritizing the generation of edges with high confidence.

Comparison to code completion. Note that LLMs for source code completions show similar results to our findings in Experiment 1 and 4, ranging from 29% for perfect prediction of entire code blocks to 69% for a few tokens in a single code statement [17]. Drawing a direct comparison between code and model completion is not straightforward, though.

F. Threats To Validity

With respect to construct validity, we made several design choices that may not be able to leverage the entire potential of LLMs for software model completion, including our definition of simple change graphs, the serialization of the simple change graph, the strategy of how to provide domain knowledge to the language model, and the choice of the base LLM.

To increase internal validity, we incorporated the SYNTHETIC Ecore Dataset into our experiments, controlling for properties of software model repositories. Still, we were not always able to completely isolate every factor in our experiments. For example, fine-tuning and few-shot learning use different edge

samplings. This is due to the API that we used to access the language models. In future research, an ablation study for the design choices in the algorithms shall be performed.

With respect to external validity, we included two real-world datasets (REPAIRVISION and INDUSTRY), and we study real-world change scenarios, taken from the observed history in these real-world repositories. We have chosen our test samples small enough to be still able to conduct a manual semantic analysis but large enough for drawing conclusions. We are therefore confident that our results have a acceptable degree of generalizability for the current state of research. Investigating the merits of LLMs for model completion is an emerging topic, so many detail questions are open. Still, our results set a lower bound for the potential of LLMs in this area, with promising results, insights, and hypothesis for further research.

VI. CONCLUSION

We investigated the merits of using LLMs for software model completion. We presented and investigated an approach to software model completion based on retrieval-augmented generation, RAMC, and compared it to fine-tuning during our evaluation. Our experiments on a simulated, a public, open source ECORE, and an industrial SYSML dataset for a train control software product line show that, indeed, LLMs are a promising technology for software model completion. The real-time capability of our approach is especially beneficial for stepwise model completion, highlighting its practical utility. We achieved a semantic correctness in a real-world industry setting of 62.30%, which is comparable to earlier results with LLMs for source code completion. Further investigation revealed that similarity-based retrieval significantly enhances the correctness of model completions and that fine-tuning is a viable alternative to retrieval-augmented generation. We found that larger LLMs and more epochs contribute to better performance for fine-tuning and that more diverse repositories increase the difficulty for the software model completion task. All in all, the general inference capabilities of LLMs are beneficial, particularly in dealing with concepts for which only scarce or even no analogous examples are provided. Anyway, we have identified concrete causes for the technology to fail and formulated corresponding hypotheses for future research. Of utmost importance for future research is to compare technology, such as graph neural networks, that has been designed for processing graph-like data (e.g., our simple change graphs), especially for structural aspects of software model completion. Also, marrying approaches that are strong for structural aspects, and LLMs, that are typically strong for semantic aspects of model completion is worth further investigation.

VII. DATA AVAILABILITY

We provided all data (excluding the INDUSTRY dataset) as well as Python scripts for our approach – in form of a [supplementary Website](#). We furthermore provide R scripts and Jupyter Notebooks to replicate our statistical evaluation. The replication package will be made public in case of acceptance.

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